

October 21, 2003.

## 1 HW 5 problem

**Thm.** If  $R$  and  $S$  are integral domains and  $k$ -algebras, then  $R \otimes_k S$  is an integral domain.

**Pf.** It is enough to consider  $R$  and  $S$  finitely generated, since we can write  $R = \cup_i R_i$  and  $S = \cup_j S_j$  so  $R \otimes S = \cup_{i,j} R_i \otimes S_j$ .

Say  $f, g \in R \otimes S$  not zero but  $fg = 0$ . Then  $f, g \in R_i \otimes S_j$  for some  $i, j$ .

Consider  $k[x_1, \dots, x_n] \twoheadrightarrow R$  and  $k[y_1, \dots, y_m] \twoheadrightarrow S$ . Let  $X \subset \mathbb{A}^n$  and  $Y \subset \mathbb{A}^m$ , and consider

$$J \hookrightarrow k[x_1, \dots, x_n, y_1, \dots, y_m] \twoheadrightarrow R \otimes S.$$

We will prove that  $J$  is a prime ideal. To do this, we consider  $Z \subset \mathbb{A}^{n+m}$  the closed algebraic set defined by  $J$ . We will prove that  $Z$  is irreducible, and then that  $\sqrt{J} = J$ .

To show that  $Z$  is irreducible, suppose  $Z = Z_1 \cup Z_2$  where  $Z_i \subset Z$  are closed. We have maps of topological spaces  $Z \xrightarrow{p_1} X$  and  $Z \xrightarrow{p_2} Y$ . Observe: if  $x \in X$  then  $p_1^{-1}(x) \rightarrow Y$  is a homeomorphism, because  $p_1^{-1}(x)$  is the set of quotients  $R \otimes S \rightarrow k$  which factor through  $R \otimes S \xrightarrow{x \otimes 1} S$ .

So,  $p_1^{-1}(x)$  is irreducible. Also, however,  $p_1^{-1}(x) = (Z_1 \cap p_1^{-1}(x)) \cup (Z_2 \cap p_1^{-1}(x))$  so we know  $p_1^{-1}(x) \subset Z_i$  for some  $i$ .

Define  $X_i = \{x \in X | p_1^{-1}(x) \subset Z_i\}$ . We know  $X = X_1 \cup X_2$ . If we can prove that  $X_i \subset X$  are closed, then  $X = X_{i_0}$  for either  $i_0 = 1$  or  $2$ , so  $Z = Z_{i_0}$ .

To prove that  $X_i$  is closed, consider for every  $y \in Y$ , the set  $X_i(y) = p_1(Z_i \cap p_2^{-1}(y)) = \{x \in X | (x, y) \in Z_i\}$ . Note that  $X_i = \bigcap_y X_i(y)$ . Also note that  $X_i$  is the preimage of  $Z_i$  under the map  $x \mapsto (x, y)$ , so  $X_i$  is closed, and therefore  $X_i$  is closed.

Now to prove that  $\sqrt{J} = J$ , we just need to show there aren't any nilpotent elements in  $R \otimes S$ . Say  $h = \sum f_i \otimes g_i$  is nilpotent in  $R \otimes S$  where  $\{f_i\}$  and  $\{g_i\}$  are linearly independent. For every  $x \in X$  we get some  $\sum f_i(x)g_i \in S$  which is nilpotent, which cannot be because  $S$  is an integral domain. Thus,  $f_i(x) = 0$  for every  $x \in X$ . Then,  $h = 0$  because  $f_i = 0$  for every  $i$ .

We use that  $k$  is algebraically closed in order to map  $R \otimes S \xrightarrow{x \otimes 1} S$  because this is essentially a quotient of  $R$  by a maximal ideal, which we only know is  $k$  if  $k$  is algebraically closed (or it could be some algebraic extension of  $k$ .)

## 2 Dimension

If  $X$  is a variety, then  $\dim(X) = \text{tr.deg}_k k(X)$ . Last time we showed that if  $Z \subset X$  is irreducible, proper, and closed, then  $\dim(Z) < \dim(X)$ .

**Thm.** If  $X$  is a variety,  $g \in \Gamma(X, \mathcal{O}_X)$  non-zero, let  $Z$  be an irreducible component of  $\{x \in X | g(x) = 0\}$ . Then  $\dim(Z) = \dim(X) - 1$ . So for example, a hypersurface in  $\mathbb{P}^n$  (a variety defined by a single polynomial) has dimension  $n - 1$ .

It suffices to consider  $X$  affine. We write  $R = \Gamma(X, \mathcal{O}_X)$ .

The irreducible components of  $V(g)$  correspond to the minimal primes  $P \subset R$  that contain  $g$ .

**Thm.** (Krull's principal ideal theorem): If  $R$  is an integral domain, finitely generated  $k$ -algebra,  $g \in R$  not zero, and  $P$  is a minimal prime containing  $g$ , then  $\text{tr.deg}_k R/P = \text{tr.deg}_k(R) - 1$ .

**Pf.** of KPIT. We want to reduce to the case  $X = \mathbb{A}^n$ .

Recall the Noether Normalization lemma: if  $X$  is an affine variety of dimension  $n$ , then there is a finite surjective morphism  $\pi : X \rightarrow \mathbb{A}^n$ . Recall that *finite* here means that  $\Gamma(X, \mathcal{O}_X)$  is a finitely generated  $k[x_1, \dots, x_n]$ -module. This all matches up with our previous way of stating Noether normalization.

**Lemma.** Let  $f : X \rightarrow Y$  be a finite morphism. Then

1.  $f$  is a closed map
2. Fibers are finite (from HW)
3.  $f$  is surjective  $\iff S = \Gamma(Y, \mathcal{O}_Y) \rightarrow R = \Gamma(X, \mathcal{O}_X)$  is injective.

**Pf.** of lemma. (2) was from HW. (1) and (3). Say we have  $V(A) \subset X$  What is  $f(V(A)) \subset Y$ ? It is the set of maximal ideals  $f^{*-1}(m)$  where  $m \subset R$  is maximal and contains  $A$ .

Let  $f^{*-1}(A) = B$  be an ideal in  $S$ . We claim:  $f(V(A)) = V(B)$ . Note we have  $S/B \hookrightarrow R/A$  integral. By the going-up theorem, for every maximal ideal  $n \subset S/B$  there is an  $m \subset R/A$  such that  $m \cap S/B = n$ . This shows that  $f$  is a closed map.

Now, if we take  $A$  to be the zero ideal, then  $f(X) = V(\text{Ker}(S \rightarrow R))$ . This proves (3), because if  $f$  is surjective then  $f(X) = Y$  so the kernel is 0, and if the kernel is 0 then  $V(0) = Y$  so  $f$  is surjective. This completes the proof of the lemma.

Now back to our proof of KPIT. We reduce to the case  $P = \sqrt{(g)}$ , which gets rid of all the components of  $Z$  except one. We can write

$$\sqrt{(g)} = P \cap P'_1 \cap \dots \cap P'_t.$$

Choose  $f \in P'_1 \cap \dots \cap P'_t$  such that  $f \notin P$  (by the prime avoidance theorem), and consider  $D(f) \subset X$ . Localize at  $f$ , and we will be left with the case  $\sqrt{(g)} = P$ .

**Remark.** If  $R$  is a UFD then we're done. This is because  $g = eh^l$  where  $h$  is irreducible and  $P = (h)$  and  $e$  a unit. Thus we want the transcendence degree of  $R/(h)$  which is clearly  $\text{tr.deg } R - 1$ .

For the general case, choose a finite map  $S = k[x_1, \dots, x_n] \rightarrow R$ . Let  $L = \text{Frac}(S)$  and let  $K = \text{Frac}(R)$ . Let  $g_0 = \text{Norm}_{K/L}(g)$ . Recall that  $\text{Norm}_{K/L} = \det(*g : K \rightarrow K)$  where we think of  $*g$  the map (which multiplies by  $g$ ) as a matrix. Then  $g_0 \in S \cap P$ . This is not obvious, so look it up.

Then we have  $\text{tr.deg } R/P = \text{tr.deg } S/(S \cap P)$ . Claim:  $S \cap P = \sqrt{(g_0)}$ . We have that  $P \cap S \supset \sqrt{(g_0)}$ . For the other way, say that  $h \in P \cap S$ . Then  $h \in P$ , so  $h^l = fg$  in  $R$ . Now we take the norm of both sides and get  $h^{l[K:L]}$  on the left, and  $\text{Norm}(f)g_0$  on the right since the determinant is multiplicative, so a power of  $h$  is in  $(g_0)$ . Now we can apply the same argument from when we had  $R$  as a UFD, so this completes the proof of KPIT.

### 3 Next stuff

**Def.** Let  $X$  be a variety,  $Z \subset X$  closed. Then  $Z$  has *pure (co-)dimension*  $r$  if all the irreducible components of  $Z$  have (co-)dimension  $r$ .

**Cor.** If  $g \in \Gamma(X, O_X)$  non-zero then  $V(g) \subset X$  has pure co-dim 1.

**Remark.** Here is the converse. Let  $Z \subset X$  be irreducible with codim 1 and a nonzero  $g \in \Gamma(X, O_X)$  such that  $g(Z) = 0$ . Then  $Z$  is an irreducible component of  $V(g)$  (as opposed to a subset of an irreducible component).

**Cor.** Let  $X$  be a variety,  $Z \subsetneq X$  a maximal irreducible closed subset. Then  $\dim Z = \dim X - 1$ .

**Pf.** We can assume  $X$  is affine, so  $Z$  is associated with some ideal  $(f_1, \dots, f_l) \subset \Gamma(X, O_X)$ , and note that  $Z \subset V(f_1)$ . So  $Z$  is equal to an irreducible component of  $V(f_1)$  so  $\dim Z = \dim X - 1$ .

**Cor.** Say  $\emptyset \neq Z_1 \subsetneq Z_2 \dots \subsetneq Z_r \subsetneq X$  is a maximal length chain of irreducible closed subsets. Then  $\dim X = r$ . (By induction.)