

# ABSTRACT ROOT SYSTEMS

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Let  $V$  be a Euclidean space, that is, a real, finite-dimensional vector space with a symmetric, positive-definite inner product  $\langle \cdot, \cdot \rangle$ . Recall the definition of a reflection in  $V$  from [1]:

**Definition 1.** A **reflection** of a vector  $\vec{x} \in V$  with respect to a vector  $\vec{\alpha} \in V$  is defined by the formula

$$s_{\vec{\alpha}}(\vec{x}) = \vec{x} - \frac{2\langle \vec{x}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} \vec{\alpha}.$$

We can now define an abstract root system in a Euclidean space.

**Definition 2.** An **abstract root system** in  $V$  is a finite set  $\Delta$  of nonzero elements of  $V$  such that

- (1)  $\Delta$  spans  $V$ ;
- (2) for all  $\vec{\alpha} \in \Delta$ , the reflections

$$s_{\vec{\alpha}}(\vec{\beta}) = \vec{\beta} - \frac{2\langle \vec{\beta}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} \vec{\alpha}$$

map the set  $\Delta$  to itself;

- (3) the number  $\frac{2\langle \vec{\beta}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle}$  is an integer for any  $\vec{\alpha}, \vec{\beta} \in \Delta$ .

A **root** is an element of  $\Delta$ .

We will begin by considering some examples of root systems.

**Example 3.** Let  $V$  be the following subspace of  $\mathbb{R}^{n+1}$ ,  $n \geq 1$ :

$$(1) \quad V = \left\{ \sum_{i=1}^{n+1} a_i \vec{e}_i, \text{ with } \sum_{i=1}^{n+1} a_i = 0 \right\},$$

where  $\{\vec{e}_i\}_{i=1}^{n+1}$  is an orthonormal basis in  $\mathbb{R}^{n+1}$ , and all  $a_i \in \mathbb{R}$ .

**Claim.** *The set  $\Delta = \{\vec{e}_i - \vec{e}_j, i \neq j\}$  is an abstract root system.*

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*Proof.* We must first show that  $\Delta$  spans  $V$ . Construct  $\tilde{\Delta} \subset \Delta$  where

$$\tilde{\Delta} = \{\vec{e}_2 - \vec{e}_1, \vec{e}_3 - \vec{e}_1, \vec{e}_4 - \vec{e}_1, \dots, \vec{e}_n - \vec{e}_1, \vec{e}_{n+1} - \vec{e}_1\}.$$

If we show that  $\tilde{\Delta}$  spans  $V$ , then  $\Delta$  necessarily spans  $V$  as well.

A vector  $\vec{v} \in V$  can be written as

$$(2) \quad \vec{v} = a_1 \vec{e}_1 + a_2 \vec{e}_2 + \dots + a_n \vec{e}_n + a_{n+1} \vec{e}_{n+1}$$

where

$$(3) \quad a_1 + a_2 + \dots + a_n + a_{n+1} = 0.$$

Rewrite (3) as

$$(4) \quad a_1 = -(a_2 + a_3 + \dots + a_n + a_{n+1})$$

and substitute (4) into (2) to get

$$(5) \quad \vec{v} = -(a_2 + a_3 + \dots + a_n + a_{n+1})\vec{e}_1 + a_2 \vec{e}_2 + \dots + a_n \vec{e}_n + a_{n+1} \vec{e}_{n+1}.$$

We can then simplify (5):

$$(6) \quad \vec{v} = a_2(\vec{e}_2 - \vec{e}_1) + a_3(\vec{e}_3 - \vec{e}_1) + \dots + a_n(\vec{e}_n - \vec{e}_1) + a_{n+1}(\vec{e}_{n+1} - \vec{e}_1).$$

Equation (6) clearly shows that any  $\vec{v} \in V$  can be written as a linear combination of the elements of  $\tilde{\Delta}$ . Hence,  $\tilde{\Delta}$  spans  $V$ , and therefore  $\Delta$  spans  $V$ .

Next, we must show that for any  $\vec{\alpha}, \vec{\beta} \in \Delta$ , the reflections  $s_{\vec{\alpha}}(\vec{\beta})$  map the set  $\Delta$  to itself. Take  $\vec{\alpha} = \vec{e}_i - \vec{e}_j$  and  $\vec{\beta} = \vec{e}_k - \vec{e}_m$ , where  $i \neq j$  and  $k \neq m$ . Apply the reflection:

$$(7) \quad s_{\vec{e}_i - \vec{e}_j}(\vec{e}_k - \vec{e}_m) = \vec{e}_k - \vec{e}_m - \frac{2\langle \vec{e}_k - \vec{e}_m, \vec{e}_i - \vec{e}_j \rangle}{\langle \vec{e}_i - \vec{e}_j, \vec{e}_i - \vec{e}_j \rangle}(\vec{e}_i - \vec{e}_j)$$

By the symmetry and bilinearity of the inner product, we can simplify (7) as follows:

$$(8) \quad s_{\vec{e}_i - \vec{e}_j}(\vec{e}_k - \vec{e}_m) = \vec{e}_k - \vec{e}_m - \frac{2[\langle \vec{e}_k, \vec{e}_i \rangle - \langle \vec{e}_k, \vec{e}_j \rangle - \langle \vec{e}_m, \vec{e}_i \rangle + \langle \vec{e}_m, \vec{e}_j \rangle]}{\langle \vec{e}_i, \vec{e}_i \rangle - \langle \vec{e}_i, \vec{e}_j \rangle - \langle \vec{e}_j, \vec{e}_i \rangle + \langle \vec{e}_j, \vec{e}_j \rangle}(\vec{e}_i - \vec{e}_j).$$

Since  $\{\vec{e}_i\}_{i=1}^{n+1}$  is an orthonormal basis, we know that  $\langle \vec{e}_i, \vec{e}_i \rangle = 1$  and  $\langle \vec{e}_i, \vec{e}_j \rangle = 0$  if  $i \neq j$ . We can simplify the fraction in (8). The denominator is clearly 2, which cancels the 2 in the numerator. Hence,

$$(9) \quad s_{\vec{e}_i - \vec{e}_j}(\vec{e}_k - \vec{e}_m) = \vec{e}_k - \vec{e}_m - [\langle \vec{e}_k, \vec{e}_i \rangle - \langle \vec{e}_k, \vec{e}_j \rangle - \langle \vec{e}_m, \vec{e}_i \rangle + \langle \vec{e}_m, \vec{e}_j \rangle](\vec{e}_i - \vec{e}_j).$$

It therefore follows that

$$(10) \quad s_{\vec{e}_i - \vec{e}_j}(\vec{e}_k - \vec{e}_m) = \begin{cases} \vec{e}_m - \vec{e}_k & \text{if } i = k, j = m \text{ or } i = m, j = k \\ \vec{e}_k - \vec{e}_m & \text{if } i \neq k \neq j \neq m \neq i \\ \vec{e}_j - \vec{e}_m & \text{if } i = k, j \neq m \\ \vec{e}_k - \vec{e}_j & \text{if } i = m, j \neq k \\ \vec{e}_i - \vec{e}_m & \text{if } i \neq m, j = k \\ \vec{e}_k - \vec{e}_i & \text{if } j = m, i \neq k \end{cases}.$$

All possible cases in (10) are the difference of two distinct elements of the orthonormal basis, which is exactly the definition of elements of  $\Delta$ , so  $\Delta$  is invariant under reflection and the second property is satisfied. In addition, for all cases the denominator of the fraction in (8) is 2, exactly canceling the 2 in the numerator. The sum of the inner products in the numerator is a combination of 0s and 1s, so it is always an integer. Thus, the fraction

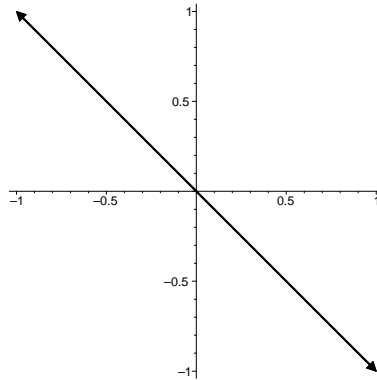
$$\frac{2\langle \vec{\alpha}, \vec{\beta} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} = \frac{2[\langle \vec{e}_k, \vec{e}_i \rangle - \langle \vec{e}_k, \vec{e}_j \rangle - \langle \vec{e}_m, \vec{e}_i \rangle + \langle \vec{e}_m, \vec{e}_j \rangle]}{\langle \vec{e}_i, \vec{e}_i \rangle - \langle \vec{e}_i, \vec{e}_j \rangle - \langle \vec{e}_j, \vec{e}_i \rangle + \langle \vec{e}_j, \vec{e}_j \rangle}$$

is always an integer, which satisfies the third condition. □

Root systems as defined in example 3 are of the type  $A_n$ . We will now consider the geometry of  $A_1$  and  $A_2$ .

For  $n = 1$ ,  $V$  is the subspace of  $\mathbb{R}^2$  where  $V = \{a_1(\vec{e}_1 - \vec{e}_2) \mid a_1 \in \mathbb{R}\}$ . Thus,  $\Delta = \{\vec{e}_1 - \vec{e}_2, \vec{e}_2 - \vec{e}_1\}$ . The standard basis is orthonormal, so we can write  $\vec{e}_1 = (1, 0)$  and  $\vec{e}_2 = (0, 1)$ . As a result,  $\Delta = \{(1, -1), (-1, 1)\}$  as depicted in figure 1.

FIGURE 1.  $A_1$  Abstract Root System

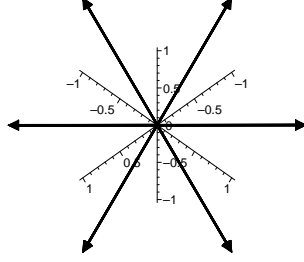
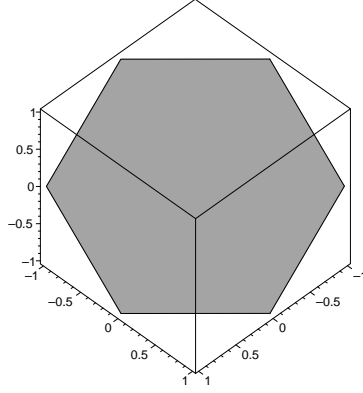


If  $n = 2$ ,  $V = \{a_1\vec{e}_1 + a_2\vec{e}_2 + a_3\vec{e}_3 \mid a_1 + a_2 + a_3 = 0\}$  is a subspace of  $\mathbb{R}^3$ . It is clear that  $\Delta = \{\vec{e}_1 - \vec{e}_2, \vec{e}_1 - \vec{e}_3, \vec{e}_2 - \vec{e}_3, \vec{e}_2 - \vec{e}_1, \vec{e}_3 - \vec{e}_1, \vec{e}_3 - \vec{e}_2\}$ . Using the standard basis in three-space,  $\vec{e}_1 = (1, 0, 0)$ ,  $\vec{e}_2 = (0, 1, 0)$ , and  $\vec{e}_3 = (0, 0, 1)$ , we observe that

$$\Delta = \{(1, -1, 0), (1, 0, -1), (0, 1, -1), (-1, 1, 0), (-1, 0, 1), (0, -1, 1)\},$$

which is shown geometrically in figure 2. If we connect the roots of  $A_2$ , we see that we get a regular hexagon of side length  $\sqrt{2}$ , as shown in figure 3.

Use of the standard basis is the simplest way to visualize  $A_1$  and  $A_2$ , yet any orthonormal basis could have been chosen in each case.

FIGURE 2.  $A_2$  Abstract Root SystemFIGURE 3.  $A_2$  Abstract Root System (Viewed as a Polygon)

**Example 4.** Let  $V$  be the space  $\mathbb{R}^n$  such that  $n \geq 2$  with an orthonormal basis  $\{\vec{e}_i\}_{i=1}^n$ .

**Claim.** The set  $\Delta = \{\pm\vec{e}_i \pm \vec{e}_j, i \neq j\} \cup \{\pm\vec{e}_i\}$  is an abstract root system.

*Proof.* The set  $\Delta$  clearly spans  $V$  since it contains the orthonormal basis  $\{\vec{e}_i\}_{i=1}^n$ . We must next show that for all  $\vec{\alpha}, \vec{\beta} \in \Delta$ , the reflection  $s_{\vec{\alpha}}(\vec{\beta}) \in \Delta$ . Define  $\{\vec{b}_i\}_{i=1}^n = \{\vec{e}_i\}_{i=1}^n$  where all  $\vec{e}_i = \vec{b}_i$  and let  $\vec{b}_{n+1} = \vec{0}$ . We can now write  $\Delta$  as  $\Delta = \{\pm\vec{b}_i \pm \vec{b}_j, i \neq j\}$ .

Take  $\vec{\alpha} = \pm\vec{b}_i \pm \vec{b}_j$  and  $\vec{\beta} = \pm\vec{b}_k \pm \vec{b}_m$ , such that  $i \neq j$  and  $k \neq m$ . We must show that  $s_{\vec{\alpha}}(\vec{\beta}) \in \Delta$  for all  $\vec{\alpha}, \vec{\beta} \in \Delta$ . Begin by expanding the equation for the reflection:

$$(11) \quad s_{\pm\vec{b}_i \pm \vec{b}_j}(\pm\vec{b}_k \pm \vec{b}_m) = \pm\vec{b}_k \pm \vec{b}_m - \frac{2\langle \pm\vec{b}_k \pm \vec{b}_m, \pm\vec{b}_i \pm \vec{b}_j \rangle}{\langle \pm\vec{b}_i \pm \vec{b}_j, \pm\vec{b}_i \pm \vec{b}_j \rangle} (\pm\vec{b}_i \pm \vec{b}_j).$$

We will first consider the fraction in (11). By the bilinearity of the inner product and by the orthonormality of the  $\vec{b}_i$ , we can simplify the denominator as follows:

$$\begin{aligned} \langle \pm \vec{b}_i \pm \vec{b}_j, \pm \vec{b}_i \pm \vec{b}_j \rangle &= \langle \pm \vec{b}_i, \pm \vec{b}_i \rangle + \langle \pm \vec{b}_i, \pm \vec{b}_j \rangle + \\ &\quad \langle \pm \vec{b}_j, \pm \vec{b}_i \rangle + \langle \pm \vec{b}_j, \pm \vec{b}_j \rangle \\ &= 1 + 0 + 0 + 1 \\ &= 2. \end{aligned}$$

This 2 in the denominator cancels the 2 in the numerator. We are now left with

$$(12) \quad s_{\pm \vec{b}_i \pm \vec{b}_j}(\pm \vec{b}_k \pm \vec{b}_m) = \pm \vec{b}_k \pm \vec{b}_m - \langle \pm \vec{b}_k \pm \vec{b}_m, \pm \vec{b}_i \pm \vec{b}_j \rangle (\pm \vec{b}_i \pm \vec{b}_j).$$

Finally, simplify the remaining inner product.

$$(13) \quad \langle \pm \vec{b}_k \pm \vec{b}_m, \pm \vec{b}_i \pm \vec{b}_j \rangle = \langle \pm \vec{b}_k, \pm \vec{b}_i \rangle + \langle \pm \vec{b}_k, \pm \vec{b}_j \rangle + \langle \pm \vec{b}_m, \pm \vec{b}_i \rangle + \langle \pm \vec{b}_m, \pm \vec{b}_j \rangle$$

It therefore follows that

$$(14) \quad s_{\pm \vec{b}_i \pm \vec{b}_j}(\pm \vec{b}_k \pm \vec{b}_m) = \begin{cases} \mp \vec{b}_i \mp \vec{b}_j & \text{if } i = k, j = m \text{ or } i = m, j = k \\ \pm \vec{b}_k \pm \vec{b}_m & \text{if } i \neq k \neq j \neq m \neq i \\ \pm \vec{b}_m \mp \vec{b}_j & \text{if } i = k, j \neq m \\ \pm \vec{b}_k \mp \vec{b}_j & \text{if } i = m, j \neq k \\ \pm \vec{b}_m \mp \vec{b}_i & \text{if } i \neq m, j = k \\ \pm \vec{b}_k \mp \vec{b}_i & \text{if } j = m, i \neq k \end{cases}$$

All 6 cases in (14) are elements of  $\Delta$ , so the second property of an abstract root system is satisfied. Furthermore, all the possible cases in (14) indicate that the inner product in (13) can only be 0,  $\pm 1$ , or  $\pm 2$ . Hence, the fraction

$$\frac{2\langle \vec{\alpha}, \vec{\beta} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} = \frac{2\langle \pm \vec{b}_k \pm \vec{b}_m, \pm \vec{b}_i \pm \vec{b}_j \rangle}{\langle \pm \vec{b}_i \pm \vec{b}_j, \pm \vec{b}_i \pm \vec{b}_j \rangle}$$

must always be an integer. As a result, the set  $\Delta = \{\pm \vec{e}_i \pm \vec{e}_j, i \neq j\} \cup \{\pm \vec{e}_i\}$  is an abstract root system.  $\square$

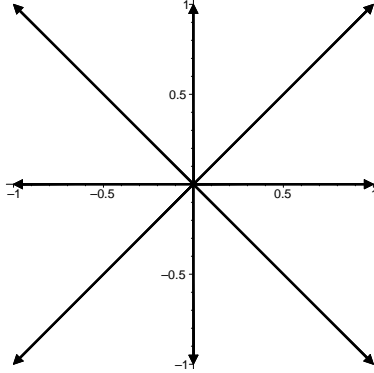
We call this type of abstract root system  $B_n$ . Suppose  $n = 2$ . In this case,  $V = \mathbb{R}^2$  and

$$\Delta = \{\vec{e}_1 + \vec{e}_2, \vec{e}_1 - \vec{e}_2, -\vec{e}_1 + \vec{e}_2, -\vec{e}_1 - \vec{e}_2, \vec{e}_1, -\vec{e}_1, \vec{e}_2, -\vec{e}_2\}.$$

We will once again choose the standard basis for  $\mathbb{R}^2$  where  $\vec{e}_1 = (1, 0)$  and  $\vec{e}_2 = (0, 1)$ . Hence,

$$\Delta = \{(1, 1), (1, -1), (-1, 1), (-1, -1), (1, 0), (-1, 0), (0, 1), (0, -1)\},$$

which is depicted graphically in figure 4.

FIGURE 4.  $B_2$  Abstract Root System

We will now consider the sizes and further classifications of abstract root systems. We begin with a simple definition.

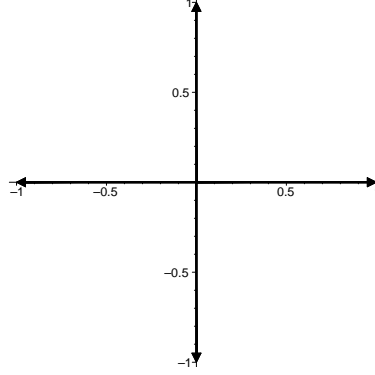
**Definition 5.** An abstract root system is **reducible** if it can be represented as a disjoint union of two abstract root systems  $\Delta = \Delta' \cup \Delta''$ , and each element of  $\Delta'$  is orthogonal to each element of  $\Delta''$ . We say that  $\Delta$  is **irreducible** if it admits no such decomposition.

This definition motivates us to test our familiar root systems  $A_2$  and  $B_2$  to determine whether they are reducible. It is clear from figure 2 that no root system  $\Delta_{A_2}$  of type  $A_2$  is reducible since no two vectors in any  $\Delta_{A_2}$  are orthogonal, and so there cannot possibly be two smaller root systems  $\Delta'_{A_2}, \Delta''_{A_2} \subset \Delta_{A_2}$  where each element in  $\Delta'_{A_2}$  is orthogonal to each element in  $\Delta''_{A_2}$ .

Now consider root systems of type  $B_2$ . From figure 4, it is equally obvious that there exists no reducible root system  $\Delta_{B_2}$  of type  $B_2$ . In this case, each of the eight vectors in  $\Delta_{B_2}$  is orthogonal to only one of the other vectors in  $\Delta_{B_2}$ . Hence, we cannot find two sets  $\Delta'_{B_2}$  and  $\Delta''_{B_2}$  that are orthogonal to each other.

An example of a reducible root system in  $\mathbb{R}^2$  is  $A_1 \oplus A_1$ , which is the union of two  $A_1$  root systems. Suppose  $\Delta_{A_1 \oplus A_1}$  is an abstract root system of type  $A_1 \oplus A_1$ . That is,  $\Delta_{A_1 \oplus A_1} = \{\vec{e}_1, -\vec{e}_1, \vec{e}_2, -\vec{e}_2\}$ . Let  $\Delta'_{A_1 \oplus A_1} = \{\vec{e}_1, -\vec{e}_1\}$  and  $\Delta''_{A_1 \oplus A_1} = \{\vec{e}_2, -\vec{e}_2\}$ . It is obvious that these two sets are orthogonal.

To further classify abstract root systems, we will prove some elementary theorems about them.

FIGURE 5.  $A_1 \oplus A_1$  Abstract Root System


**Theorem 6.** Let  $\Delta$  be an abstract root system in  $V$ .

- (1) If  $\vec{\alpha} \in \Delta$ , then  $-\vec{\alpha} \in \Delta$ .
- (2) If  $\vec{\alpha} \in \Delta$  and  $\pm\frac{1}{2}\vec{\alpha}$  is not in  $\Delta$ , then the only possible elements of  $\Delta \cup \{\vec{0}\}$  proportional to  $\vec{\alpha}$  are  $\pm\vec{\alpha}$ ,  $\pm 2\vec{\alpha}$ , and  $\vec{0}$ .
- (3) If  $\vec{\alpha}$  is in  $\Delta$  and  $\vec{\beta} \in \Delta \cup \vec{0}$ , then

$$(15) \quad n(\vec{\alpha}, \vec{\beta}) := \frac{2\langle \vec{\beta}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} = 0, \pm 1, \pm 2, \pm 3 \text{ or } \pm 4,$$

and  $\pm 4$  can only occur if  $\vec{\beta} = \pm 2\vec{\alpha}$ .

*Proof.* (1) Consider

$$(16) \quad s_{\vec{\alpha}}(\vec{\alpha}) = \vec{\alpha} - \frac{2\langle \vec{\alpha}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} \vec{\alpha} = -\vec{\alpha}.$$

By the definition of an abstract root system, the reflections map the set  $\Delta$  to itself. Hence, if  $\vec{\alpha} \in \Delta$ , then  $-\vec{\alpha} \in \Delta$ .

- (2) To prove the second property, we will use the fact that  $n(\vec{\alpha}, \vec{\beta})$  must be an integer. Hence, if  $k \in \mathbb{R}$ ,

$$\frac{2\langle k\vec{\alpha}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} \in \mathbb{Z} \quad \text{and} \quad \frac{2\langle \vec{\alpha}, k\vec{\alpha} \rangle}{\langle k\vec{\alpha}, k\vec{\alpha} \rangle} \in \mathbb{Z}.$$

By the properties of the inner product, it follows that  $2/k$  and  $2k$  are both integers. We know that either  $k = 0$  or  $|k| \geq 1/2$  for  $2k \in \mathbb{Z}$ . We also know that  $2/|k|$  can only be an integer larger than 4 if  $|k| < 1/2$ . Hence, it suffices to find the  $k$  that satisfy the equation  $2/k = c$ , where  $c = \{\pm 1, \pm 2, \pm 3, \pm 4\}$ . We can rewrite this equation as  $k = 2/c$  to see that  $k = \{\pm 2, \pm 1, \pm 2/3, \pm 1/2\}$ . Reject  $k = \pm 2/3$ , since  $4/3 \notin \mathbb{Z}$ , and  $k = \pm 1/2$  by the statement of the theorem. Consequently, the only possible elements of  $\Delta \cup \{\vec{0}\}$  proportional to  $\vec{\alpha}$

are  $\pm\vec{\alpha}$ ,  $\pm 2\vec{\alpha}$ , and  $\vec{0}$ .

- (3) The third property is proved using the Cauchy-Schwarz inequality, which states that

$$(17) \quad \left| \langle \vec{\beta}, \vec{\alpha} \rangle \right| \leq \|\vec{\alpha}\| \cdot \|\vec{\beta}\|.$$

We can rewrite (17) as

$$\left| \langle \vec{\beta}, \vec{\alpha} \rangle \right| \leq \langle \vec{\alpha}, \vec{\alpha} \rangle^{1/2} \cdot \langle \vec{\beta}, \vec{\beta} \rangle^{1/2}.$$

Squaring both sides we notice that

$$\langle \vec{\beta}, \vec{\alpha} \rangle^2 \leq \langle \vec{\alpha}, \vec{\alpha} \rangle \cdot \langle \vec{\beta}, \vec{\beta} \rangle.$$

By the bilinearity of the inner product,

$$\langle \vec{\beta}, \vec{\alpha} \rangle \cdot \langle \vec{\alpha}, \vec{\beta} \rangle \leq \langle \vec{\alpha}, \vec{\alpha} \rangle \cdot \langle \vec{\beta}, \vec{\beta} \rangle.$$

It therefore follows that

$$(18) \quad \left| \frac{2\langle \vec{\beta}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} \cdot \frac{2\langle \vec{\alpha}, \vec{\beta} \rangle}{\langle \vec{\beta}, \vec{\beta} \rangle} \right| \leq 4.$$

Once again, the fractions

$$\frac{2\langle \vec{\beta}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} \quad \text{and} \quad \frac{2\langle \vec{\alpha}, \vec{\beta} \rangle}{\langle \vec{\beta}, \vec{\beta} \rangle}$$

must be integers. When taken together, their product must be less than or equal to four, so each of these fractions can only be  $0$ ,  $\pm 1$ ,  $\pm 2$ ,  $\pm 3$ , or  $\pm 4$ .

Suppose that

$$\frac{2\langle \vec{\beta}, \vec{\alpha} \rangle}{\langle \vec{\alpha}, \vec{\alpha} \rangle} = \pm 4.$$

In this case, equality holds in the Cauchy-Schwarz inequality, so  $\vec{\alpha}$  and  $\vec{\beta}$  are proportional. In addition, by (17),

$$\frac{2\langle \vec{\alpha}, \vec{\beta} \rangle}{\langle \vec{\beta}, \vec{\beta} \rangle} = \pm 1.$$

As a result,  $2\langle \vec{\beta}, \vec{\alpha} \rangle = 4\|\vec{\alpha}\|^2$  and  $2\langle \vec{\alpha}, \vec{\beta} \rangle = 2\|\vec{\beta}\|^2$ , so  $\|\vec{\beta}\| = 2\|\vec{\alpha}\|$ . Since  $\vec{\alpha}$  is proportional to  $\vec{\beta}$ , it is clear that  $\vec{\beta} = \pm 2\vec{\alpha}$ .

□

Property (3) of theorem 6 limits the magnitude of  $n(\vec{\alpha}, \vec{\beta})$ . This leads us to consider the possible values of  $n(\vec{\alpha}, \vec{\beta})$  for the familiar, two dimensional root systems of type  $A_2$ ,  $B_2$ , and  $A_1 \oplus A_1$ . Brute force calculations show that in each of these three cases,  $n(\vec{\alpha}, \vec{\beta})$  can only be  $0$ ,  $\pm 1$ , or  $\pm 2$ . We must now try to find abstract root systems in  $V = \mathbb{R}^2$  which allow  $n(\vec{\alpha}, \vec{\beta})$



to equal  $\pm 3$  or  $\pm 4$ . To accomplish this goal, we must first prove another theorem.

**Theorem 7.** *Let  $\Delta$  be an abstract root system in  $V$ .*

- (1) *If  $\vec{\alpha}$  and  $\vec{\beta}$  are in  $\Delta$ , and  $\langle \vec{\alpha}, \vec{\beta} \rangle > 0$ , then  $\vec{\alpha} - \vec{\beta}$  is a root or 0. If  $\langle \vec{\alpha}, \vec{\beta} \rangle < 0$ , then  $\vec{\alpha} + \vec{\beta}$  is a root or 0.*
- (2) *Let  $\vec{\alpha} \in \Delta$  and  $\vec{\beta} \in \Delta \cup \{\vec{0}\}$ . If  $\vec{\beta} + n\vec{\alpha}, \vec{\beta} + (n+1)\vec{\alpha} \in \Delta \cup \{\vec{0}\}$ , then  $\vec{\beta} + (n+1)\vec{\alpha}$  must also be in  $\Delta \cup \{\vec{0}\}$ .*

*Proof.* (1) Consider the following two reflections:

$$(19) \quad s_{\vec{\alpha}}(\vec{\beta}) = \vec{\beta} - n(\vec{\alpha}, \vec{\beta})\vec{\alpha}$$

$$(20) \quad s_{\vec{\beta}}(\vec{\alpha}) = \vec{\alpha} - n(\vec{\beta}, \vec{\alpha})\vec{\beta}$$

For  $\vec{\alpha} - \vec{\beta}$  to be a root, it suffices that  $n(\vec{\beta}, \vec{\alpha}) = 1$  or  $n(\vec{\alpha}, \vec{\beta}) = 1$ . For  $\vec{\alpha} + \vec{\beta}$  to be a root, it also suffices that  $n(\vec{\beta}, \vec{\alpha}) = -1$  or  $n(\vec{\alpha}, \vec{\beta}) = -1$ . By equation (18),  $|n(\vec{\alpha}, \vec{\beta}) \cdot n(\vec{\beta}, \vec{\alpha})| \leq 4$ . Hence, the following are the possible values for  $n(\vec{\alpha}, \vec{\beta})$  and  $n(\vec{\beta}, \vec{\alpha})$ :

$n(\vec{\alpha}, \vec{\beta})$	$n(\vec{\beta}, \vec{\alpha})$
±1	±1, ±2, ±3, ±4
±2	±1, ±2
±3	±1
±4	±1

When  $n(\vec{\alpha}, \vec{\beta}) = \pm 2$  and  $n(\vec{\beta}, \vec{\alpha}) = \pm 2$ ,  $|n(\vec{\alpha}, \vec{\beta})| = |n(\vec{\beta}, \vec{\alpha})|$ . Hence  $\langle \vec{\alpha}, \vec{\alpha} \rangle = \langle \vec{\beta}, \vec{\beta} \rangle$ . We know by the previous theorem that  $\vec{\alpha}$  and  $\vec{\beta}$  are proportional. Thus,  $\vec{\beta}$  must be  $\pm\vec{\alpha}$ . In every other case, either  $n(\vec{\alpha}, \vec{\beta})$  or  $n(\vec{\beta}, \vec{\alpha})$  must be  $\pm 1$ . If  $\langle \vec{\alpha}, \vec{\beta} \rangle > 0$ , then  $n(\vec{\alpha}, \vec{\beta}) > 0$  and  $n(\vec{\beta}, \vec{\alpha}) > 0$ . Therefore, the reflections in equations (19) and (20) yield either  $\vec{\beta} - \vec{\alpha}$  or  $\vec{\alpha} - \vec{\beta}$ . If  $\langle \vec{\alpha}, \vec{\beta} \rangle < 0$ , then  $n(\vec{\alpha}, \vec{\beta}) < 0$  and  $n(\vec{\beta}, \vec{\alpha}) < 0$ . Now, these reflections yield  $\vec{\alpha} + \vec{\beta}$ .

- (2) We will prove the second statement by contradiction. Suppose that  $\vec{\beta} + n\vec{\alpha}, \vec{\beta} + (n+2)\vec{\alpha} \in \Delta \cup \{\vec{0}\}$ , but  $\vec{\beta} + (n+1)\vec{\alpha} \notin \Delta \cup \{\vec{0}\}$ . Hence, we assume that there is a gap in the set of elements of  $\Delta \cup \{\vec{0}\}$  of the form  $\vec{\beta} + n\vec{\alpha}$ . We know that  $\vec{\alpha} \in \Delta$ , so by the first part of theorem 7, either

$$(21) \quad \vec{\beta} + (n+2)\vec{\alpha} - \vec{\alpha} = \vec{\beta} + (n+1)\vec{\alpha} \in \Delta \cup \{\vec{0}\}$$

if  $\langle \vec{\beta} + (n+2)\vec{\alpha}, \vec{\alpha} \rangle > 0$ , or

$$(22) \quad \vec{\beta} + n\vec{\alpha} + \vec{\alpha} = \vec{\beta} + (n+1)\vec{\alpha} \in \Delta \cup \{\vec{0}\}$$

if  $\langle \vec{\beta} + n\vec{\alpha}, \vec{\alpha} \rangle < 0$ . By simplifying these conditions, we observe that  $\vec{\beta} + (n+1)\vec{\alpha} \in \Delta \cup \{\vec{0}\}$  if  $\langle \vec{\beta}, \vec{\alpha} \rangle > -(n+2)\langle \vec{\alpha}, \vec{\alpha} \rangle$  or  $\langle \vec{\beta}, \vec{\alpha} \rangle < -n\langle \vec{\alpha}, \vec{\alpha} \rangle$ . These two conditions cover all possibilities for  $\langle \vec{\alpha}, \vec{\beta} \rangle$ , so  $\vec{\beta} + (n+1)\vec{\alpha} \in \Delta \cup \{\vec{0}\}$ , which contradicts our original proposition.  $\square$

We will conclude by taking advantage of the Euclidean geometry to describe the geometry of abstract root systems. Recall that for the standard inner product in  $\mathbb{R}^n$ , the number  $\langle \vec{\alpha}, \vec{\alpha} \rangle = \|\vec{\alpha}\|^2$  is the square of the length of the vector. Hence,  $n(\vec{\alpha}, \vec{\beta})$  can be written as

$$(23) \quad n(\vec{\alpha}, \vec{\beta}) = 2 \frac{\|\vec{\beta}\|}{\|\vec{\alpha}\|} \cos \phi,$$

where  $\phi$  is the angle between  $\vec{\alpha}$  and  $\vec{\beta}$ . Then we have

$$\left| n(\vec{\alpha}, \vec{\beta}) \cdot n(\vec{\beta}, \vec{\alpha}) \right| = 4 \cos^2 \phi.$$

By applying theorem 6, we can find all of the possible values for  $\phi$ , as shown in the table below.

$n(\vec{\alpha}, \vec{\beta})$	$n(\vec{\beta}, \vec{\alpha})$	$\left  n(\vec{\alpha}, \vec{\beta}) \cdot n(\vec{\beta}, \vec{\alpha}) \right $	$\cos \phi$	$\phi$
0	0	0	0	$90^\circ$
$\pm 1$	$\pm 1, \pm 2, \pm 3, \pm 4$	1, 2, 3, 4	$1/2, 1/\sqrt{2}, \sqrt{3}/2, 1$	$60^\circ, 45^\circ, 30^\circ, 0^\circ$
$\pm 2$	$\pm 1, \pm 2$	2, 4	$1/\sqrt{2}, 1$	$45^\circ, 0^\circ$
$\pm 3$	$\pm 1$	3	$\sqrt{3}/2$	$30^\circ$
$\pm 4$	$\pm 1$	4	1	$0^\circ$

Consequently, the angle  $\phi$  between two nonproportional elements of an abstract root system can only be  $30^\circ$ ,  $45^\circ$ ,  $60^\circ$ , or  $90^\circ$ .

The relative lengths of any two vectors can also be predicted. Equation (23) also implies that

$$n(\vec{\beta}, \vec{\alpha}) = 2 \frac{\|\vec{\alpha}\|}{\|\vec{\beta}\|} \cos \phi,$$

so we will now calculate all possible ratios  $\|\vec{\beta}\|/\|\vec{\alpha}\|$  and  $\|\vec{\alpha}\|/\|\vec{\beta}\|$  of the roots for a fixed angle  $\phi$ . The possible relative lengths are therefore those values that satisfy both ratios, as shown in the following table.

$\phi$	$\ \vec{\beta}\ /\ \vec{\alpha}\ $	$\ \vec{\alpha}\ /\ \vec{\beta}\ $	relative length $\geq 1$
$30^\circ$	$1/\sqrt{3}, \frac{2}{3}\sqrt{3}, \sqrt{3}, \frac{4}{3}\sqrt{3}$	$\sqrt{3}, \frac{3}{2}\sqrt{3}, 1/\sqrt{3}, \frac{3}{4}\sqrt{3}$	$\sqrt{3}$
$45^\circ$	$1/\sqrt{2}, \sqrt{2}, \frac{3}{2}\sqrt{2}, 2\sqrt{2}$	$\sqrt{2}, 1/\sqrt{2}, \frac{2}{3}\sqrt{2}, \frac{1}{2\sqrt{2}}$	$\sqrt{2}$
$60^\circ$	1, 2, 3, 4	1, 1/2, 1/3, 1/4	1
$90^\circ$	1/2, 1, 3/2, 2	2, 1, 2/3, 1/2	1, 2

We now have all the tools we need to describe all possible root systems in  $V = \mathbb{R}^2$ . We have already encountered three of them— $A_1 \oplus A_1$ ,  $A_2$ , and  $B_2$ . Recall that for  $A_1 \oplus A_1$ , 4 roots meet at  $90^\circ$  angles. For  $A_2$  abstract root systems, 6 roots meet with  $60^\circ$  angles between adjacent roots, so the only relative length they can have is 1. For  $B_2$  systems, 8 roots meet with  $45^\circ$  angles between adjacent ones, so the possible relative lengths are  $1/\sqrt{2}$  for those vectors with  $45^\circ$  between them and 1 for those vectors with  $90^\circ$  between them. If the relative lengths of vectors that intersect at  $45^\circ$  angles in  $B_2$  is instead  $\sqrt{2}$ , we have a fifth abstract root system,  $C_2$ . In effect, this is just a rotated version  $B_2$ . If we superimpose the  $B_2$  and  $C_2$  root systems, we get a fifth abstract root system in  $\mathbb{R}^2$  known as  $BC_2$ . Finally, if we take the angle between 12 adjacent roots to be  $30^\circ$  apart, we see that we get relative length to be  $\sqrt{3}$  between adjacent roots and 1 between alternating roots. We call this sixth root system  $G_2$ . The root systems  $C_2$ ,  $BC_2$ , and  $G_2$  are depicted below.

FIGURE 6.  $C_2$  Abstract Root System

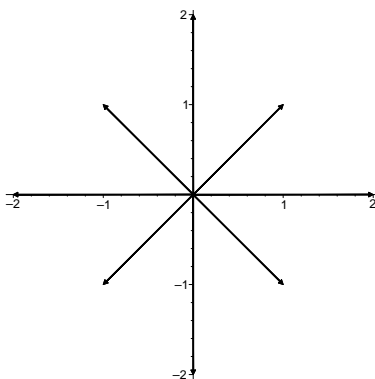
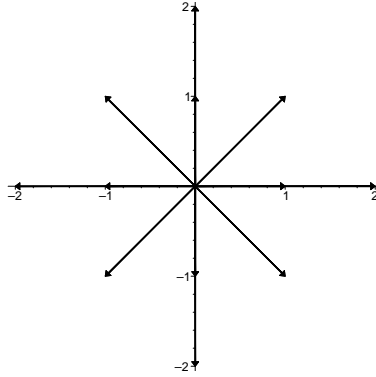
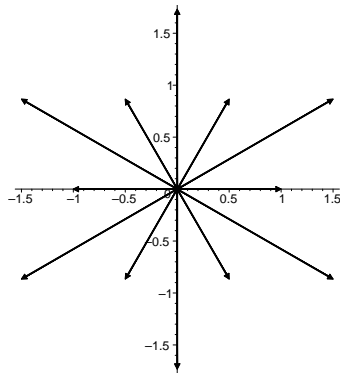


FIGURE 7.  $BC_2$  Abstract Root SystemFIGURE 8.  $G_2$  Abstract Root System

No other root systems can possibly exist in  $\mathbb{R}^2$ . Thus, maximum number of roots in any root system on  $\mathbb{R}^2$  is 12.

## REFERENCES

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