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12.842 / 12.301 Past and Present Climate  
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# Radiative Transfer

## Chapter 3, Hartmann

- Shortwave Absorption:
  - Clouds, H<sub>2</sub>O, O<sub>3</sub>, some CO<sub>2</sub>
- Shortwave Reflection:
  - Clouds, surface, atmosphere
- Longwave Absorption:
  - Clouds, H<sub>2</sub>O, CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O

# Planck's Law

- Based on assumption of local thermodynamic equilibrium
  - (Not valid at very high altitudes in atmosphere)

$$B_{\nu}(T) = \frac{2\hbar\nu^3}{c^2 \left[ e^{\hbar\nu/kT} - 1 \right]}$$

$k$  = Boltzmann's constant

$\hbar$  = Planck's constant

$\nu$  = frequency

$c$  = speed of light

Stefan-Boltzmann Law is the integral of the Planck function over all frequencies and all angles in a hemisphere:

$$\pi \int_0^{\infty} B_{\nu}(T) d\nu = \sigma T^4$$

$$\sigma = \frac{2\pi^5 k^4}{15c^2 \hbar^3}$$

# Absorption and Emission in a Gas:

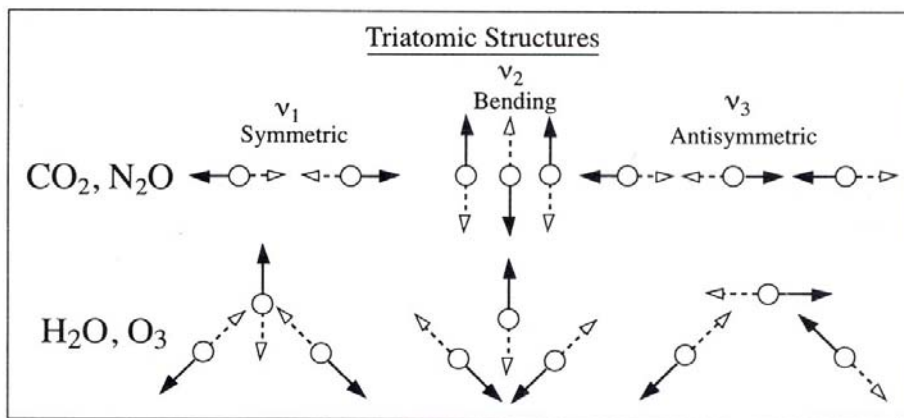
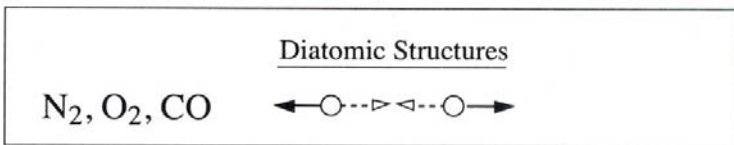
*Photon energy*  $E_\nu = \hbar\nu$

*Atomic energy levels*  $E_\nu = n\hbar\nu, n = 0, 1, 2, 3 \dots$

An isolated atom can absorb only those photons whose energy is equal to the difference between two atomic energy levels

Molecules have additional energy levels:

<u>Molecule</u>	<u>Arrangement</u>	<u>Permanent Dipole Moment</u>
N <sub>2</sub>		No
O <sub>2</sub>		No
CO		Yes
CO <sub>2</sub>		No
N <sub>2</sub> O		Yes
H <sub>2</sub> O		Yes
O <sub>3</sub>		Yes
CH <sub>4</sub>		No



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For molecules in a gas:

$$E_{total} = E_{atomic} + E_{vibrational} + E_{rotational} + E_{translational}$$

Translational energy is the kinetic energy of molecular motions in a gas, proportional to the gas temperature. Not quantized.

Molecules in a gas can absorb more frequencies than isolated atoms.

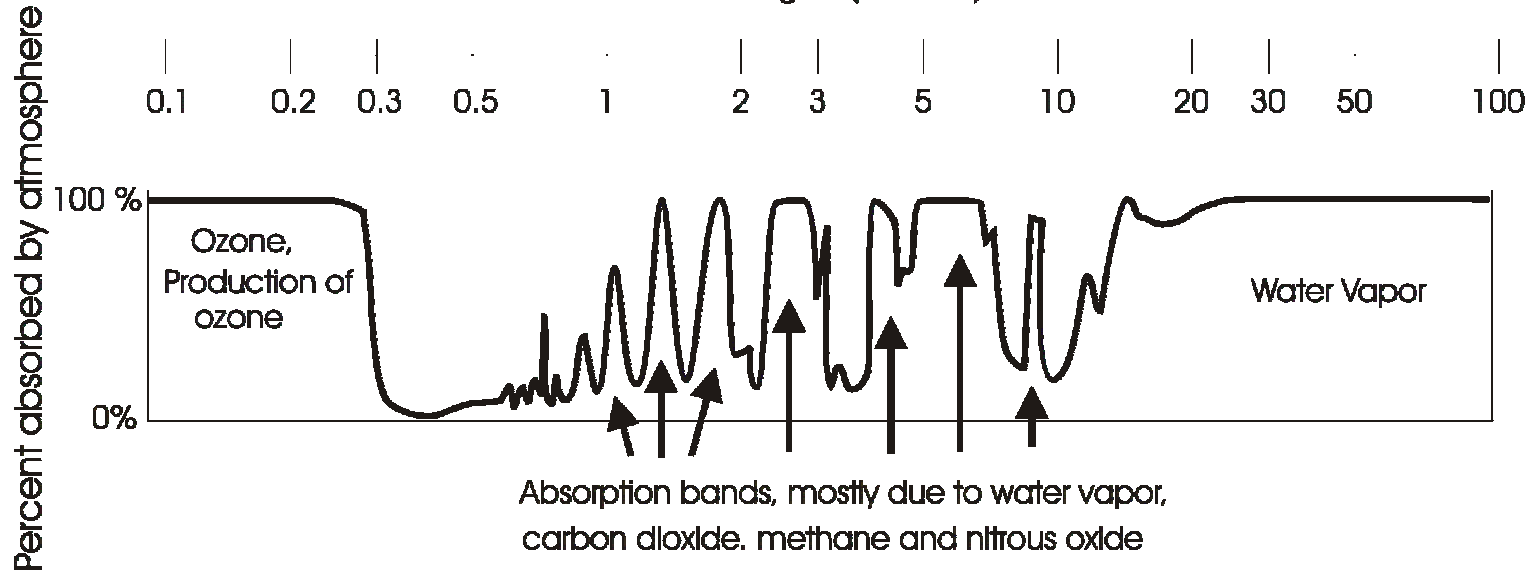
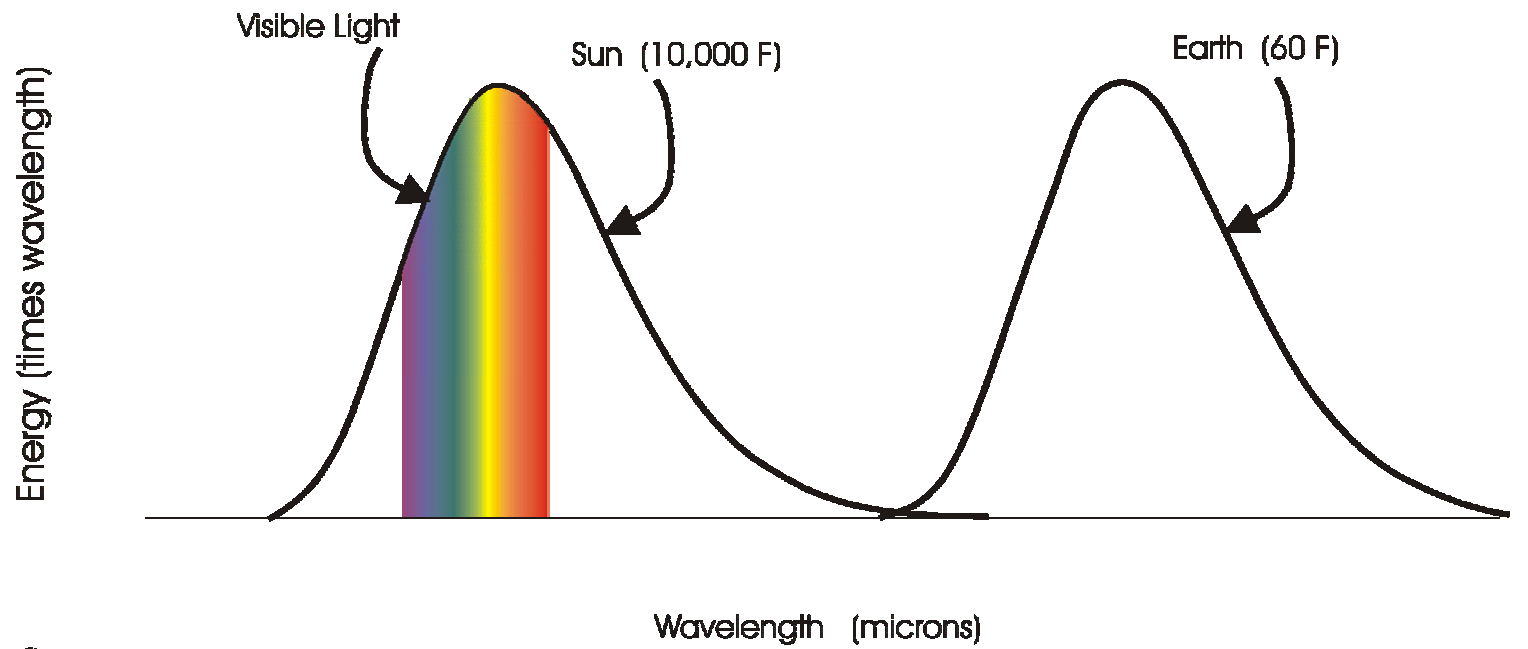
Collisions between molecules can carry away energy or supply energy to interactions between matter and photons.

*Natural, pressure and Doppler broadening*

# Principal Atmospheric Absorbers

- $\text{H}_2\text{O}$ : Bent triatomic, with permanent dipole moment and pure rotational bands as well as rotation-vibration transitions
- $\text{O}_3$ : Like water, but also involved in photodissociation
- $\text{CO}_2$ : No permanent dipole moment, so no pure rotational transitions, but temporary dipole during vibrational transitions
- Other gases:  $\text{N}_2\text{O}$ ,  $\text{CH}_4$

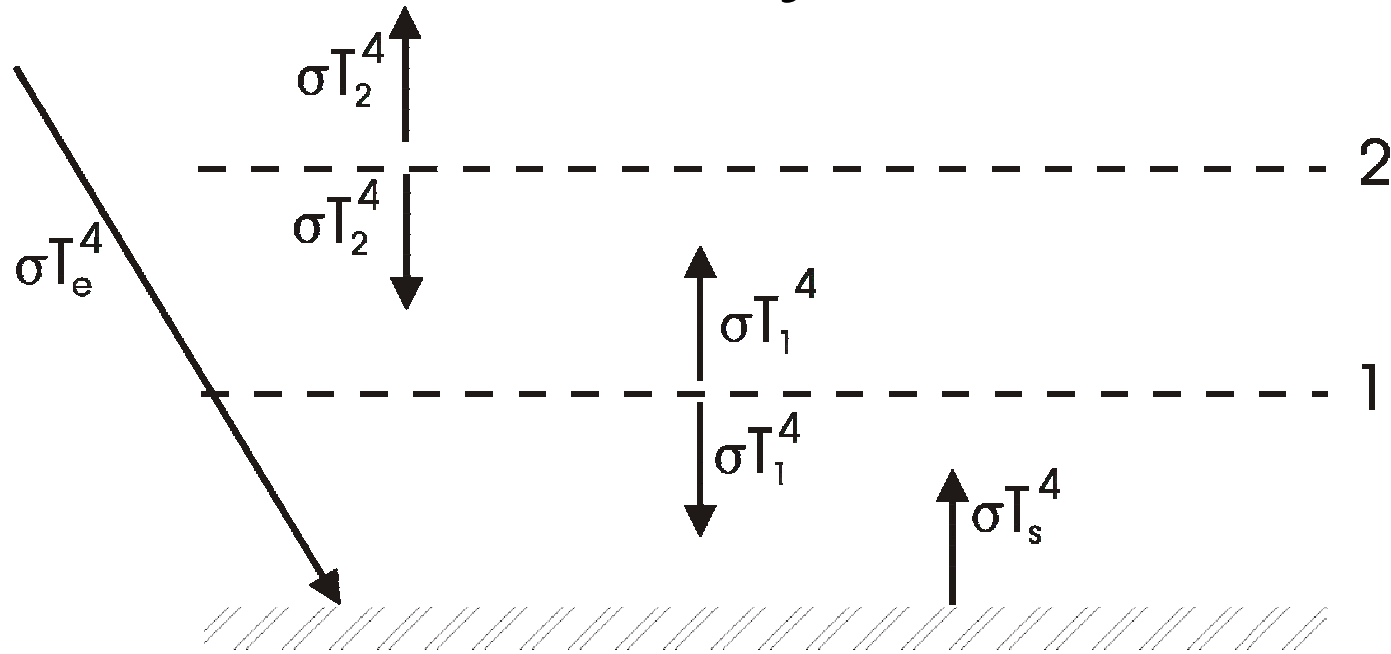




# Radiative Equilibrium

- Equilibrium state of atmosphere and surface in the absence of non-radiative enthalpy fluxes
- Radiative heating drives actual state toward state of radiative equilibrium

# Extended Layer Models



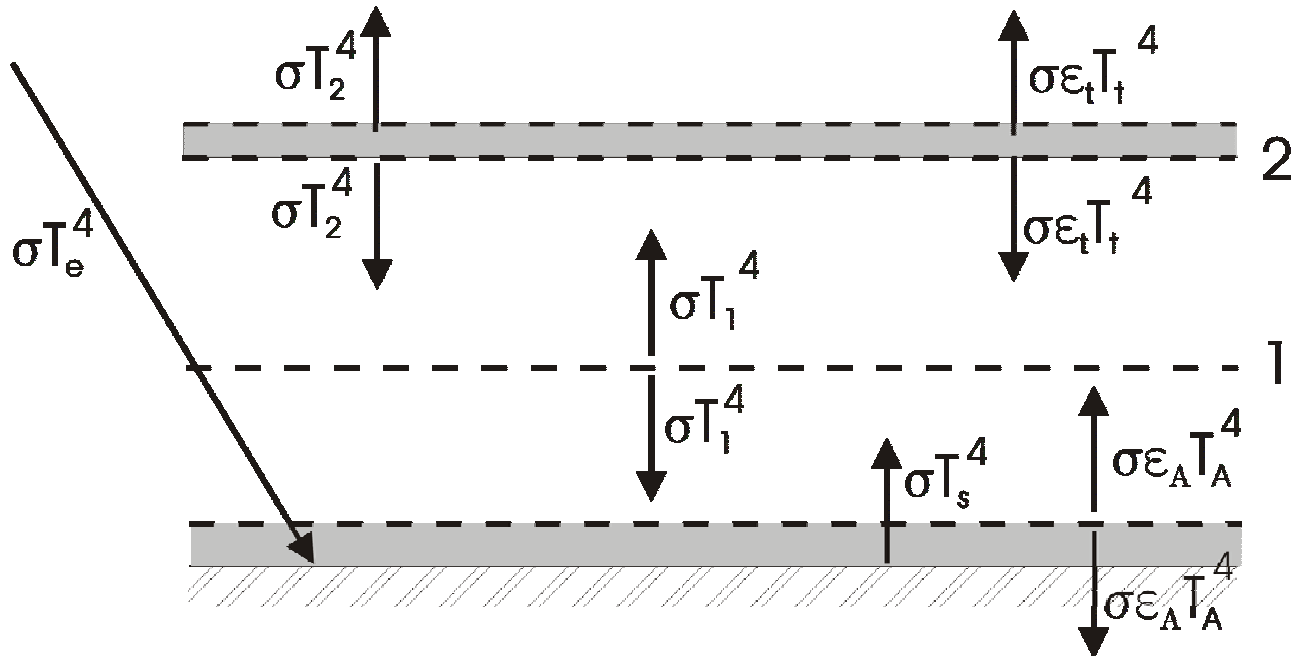
$$TOA: \quad \sigma T_2^4 = \sigma T_e^4 \rightarrow T_2 = T_e$$

$$Middle\ Layer: \quad 2\sigma T_1^4 = \sigma T_2^4 + \sigma T_s^4 = \sigma T_e^4 + \sigma T_s^4$$

$$Surface: \quad \sigma T_s^4 = \sigma T_e^4 + \sigma T_1^4$$

$$\rightarrow T_s = 3^{1/4} T_e \quad T_1 = 2^{1/4} T_e$$

# Effects of emissivity < 1



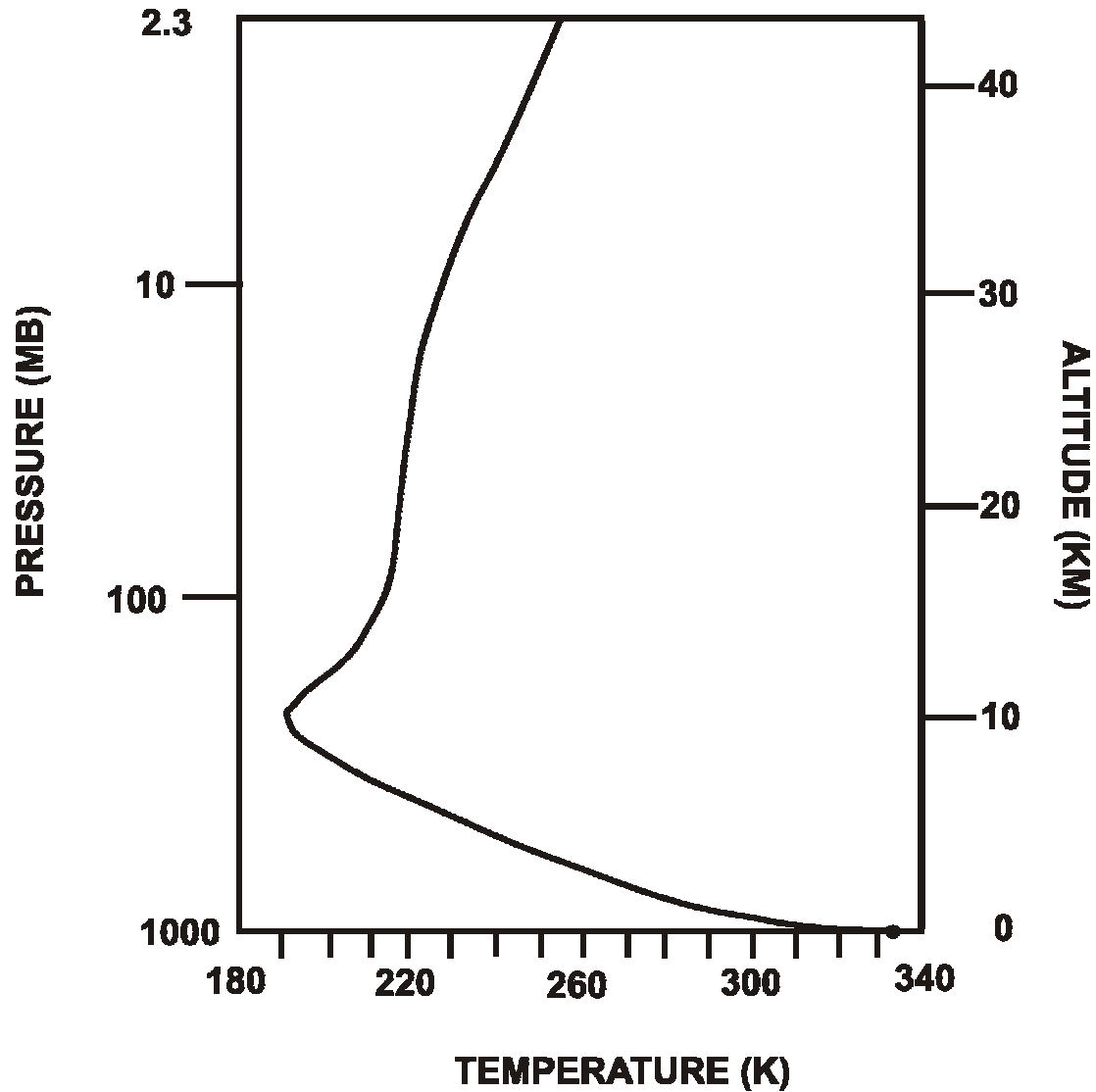
$$\text{Surface: } 2\epsilon_A \sigma T_A^4 = \epsilon_A \sigma T_1^4 + \epsilon_A \sigma T_s^4$$

$$\rightarrow T_A = \left(\frac{5}{2}\right)^{1/4} T_e \approx 321K < T_s$$

$$\text{Stratosphere: } 2\epsilon_t \sigma T_t^4 = \epsilon_A \sigma T_2^4$$

$$\rightarrow T_t = \left(\frac{1}{2}\right)^{1/4} T_e \approx 214K < T_e$$

# Full calculation of radiative equilibrium:



# Problems with radiative equilibrium solution:

- Too hot at and near surface
- Too cold at a near tropopause
- Lapse rate of temperature too large in the troposphere
- (But stratosphere temperature close to observed)

# Missing ingredient: Convection

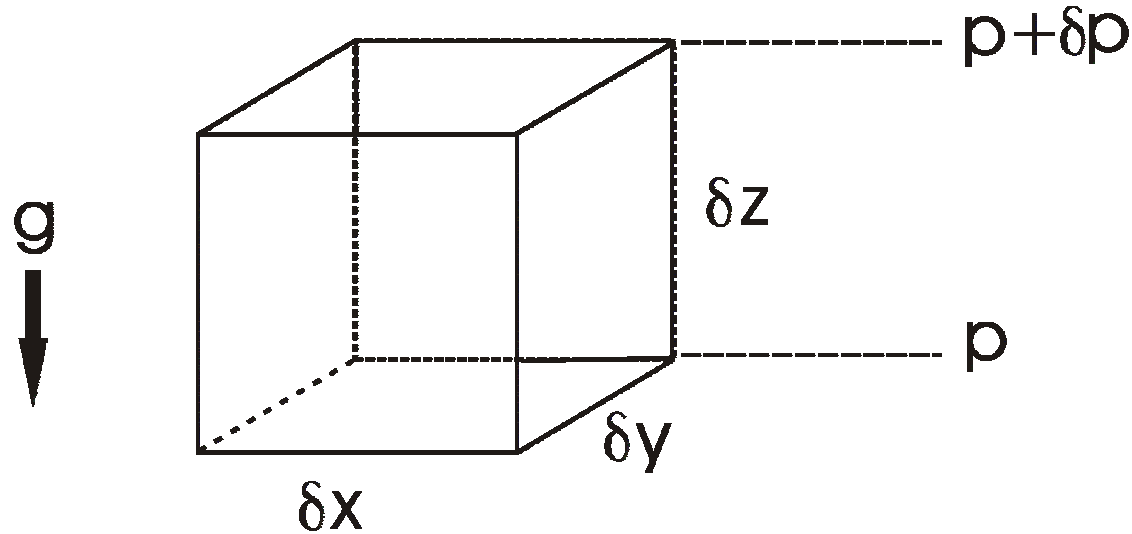
- As important as radiation in transporting enthalpy in the vertical
- Also controls distribution of water vapor and clouds, the two most important constituents in radiative transfer

# When is a fluid unstable to convection?

- Pressure and hydrostatic equilibrium
- Buoyancy
- Stability



# Hydrostatic equilibrium:



$$\text{Weight: } -g \rho \delta x \delta y \delta z$$

$$\text{Pressure: } p \delta x \delta y - (p + \delta p) \delta x \delta y$$

$$F = MA: \quad \rho \delta x \delta y \delta z \frac{dw}{dt} = -g \rho \delta x \delta y \delta z - \delta p \delta x \delta y$$

$$\frac{dw}{dt} = -g - \alpha \frac{\partial p}{\partial z}, \quad \alpha = \frac{1}{\rho} = \text{specific volume}$$

# Pressure distribution in atmosphere at rest:

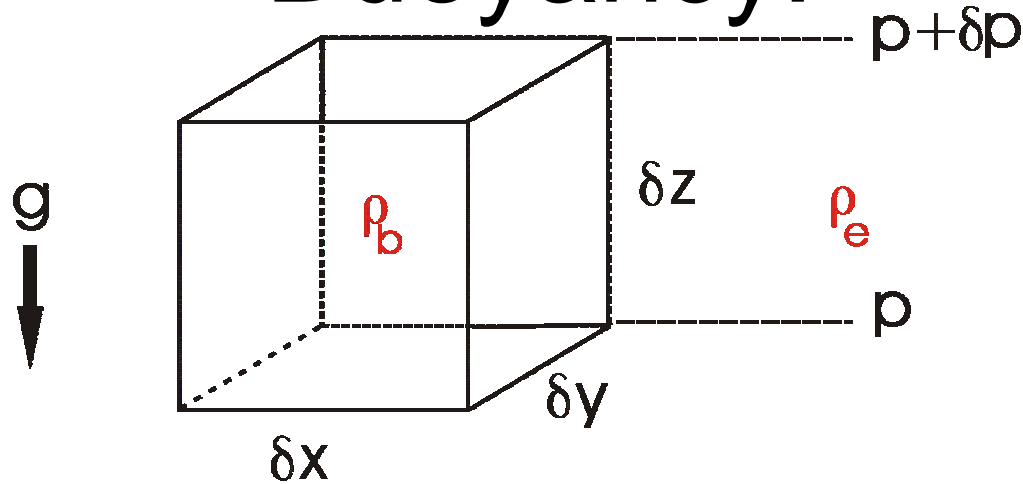
$$\text{Ideal gas: } \alpha = \frac{RT}{p}, \quad R \equiv \frac{R^*}{\bar{m}}$$

$$\text{Hydrostatic: } \frac{1}{p} \frac{\partial p}{\partial z} = -\frac{g}{RT}$$

$$\text{Isothermal case: } p = p_0 e^{-z/H}, \quad H \equiv \frac{RT}{g} = \text{"scale height"}$$

Earth:  $H \sim 8 \text{ Km}$

# Buoyancy:



$$\text{Weight: } -g \rho_b \delta x \delta y \delta z$$

$$\text{Pressure: } p \delta x \delta y - (p + \delta p) \delta x \delta y$$

$$F = MA: \quad \rho_b \delta x \delta y \delta z \frac{dw}{dt} = -g \rho_b \delta x \delta y \delta z - \delta p \delta x \delta y$$

$$\frac{dw}{dt} = -g - \alpha_b \frac{\partial p}{\partial z} \quad \text{but} \quad \frac{\partial p}{\partial z} = -\frac{g}{\alpha_e}$$

$$\rightarrow \frac{dw}{dt} = g \frac{\alpha_b - \alpha_e}{\alpha_e} \equiv B$$

# Buoyancy and Entropy

Specific Volume:  $\alpha = 1/\rho$

Specific Entropy:  $s$

$$\alpha = \alpha(p, s)$$

$$(\delta\alpha)_p = \left(\frac{\partial\alpha}{\partial s}\right)_p \delta s = \left(\frac{\partial T}{\partial p}\right)_s \delta s$$

$$B = g \frac{(\delta\alpha)_p}{\alpha} = \frac{g}{\alpha} \left(\frac{\partial T}{\partial p}\right)_s \delta s = - \left(\frac{\partial T}{\partial z}\right)_s \delta s \equiv \Gamma \delta s$$

# The adiabatic lapse rate:

*First Law of Thermodynamics :*

$$\begin{aligned}\dot{Q} &= T \frac{ds_{rev}}{dt} = c_v \frac{dT}{dt} + p \frac{d\alpha}{dt} \\ &= c_v \frac{dT}{dt} + \frac{d(\alpha p)}{dt} - \alpha \frac{dp}{dt} \\ &= (c_v + R) \frac{dT}{dt} - \alpha \frac{dp}{dt} \\ &= c_p \frac{dT}{dt} - \alpha \frac{dp}{dt}\end{aligned}$$

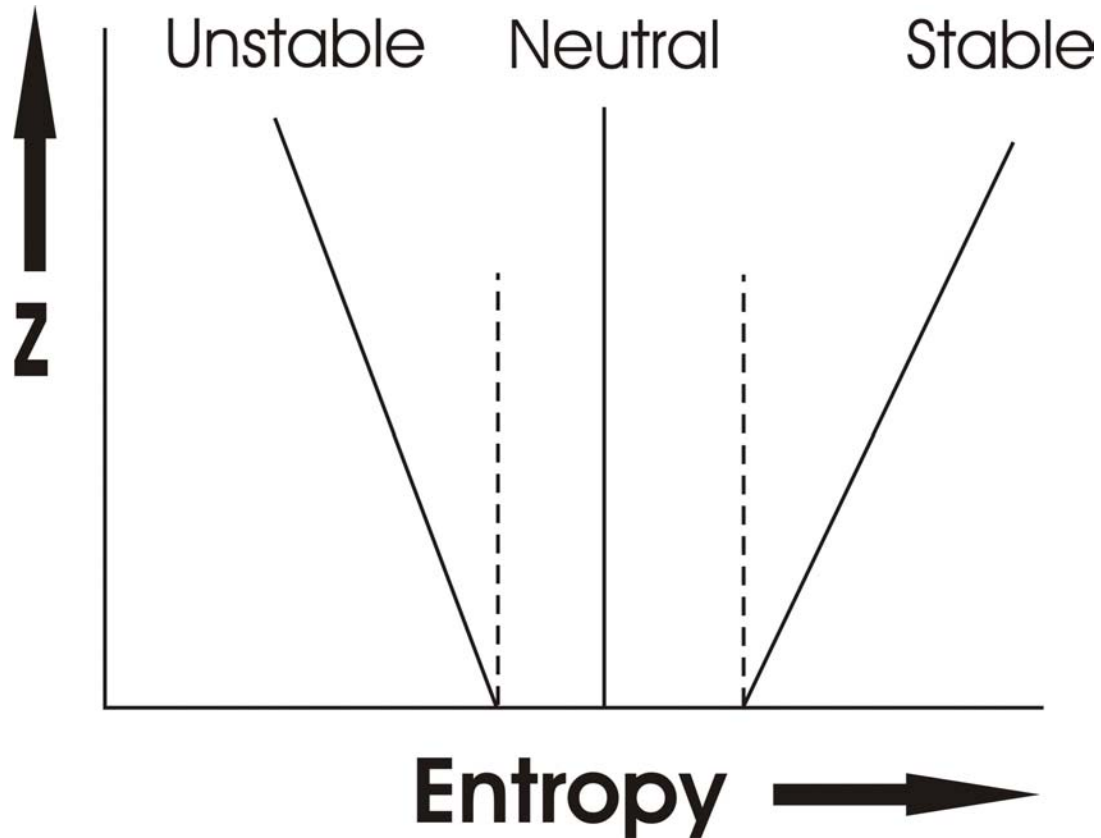
*Adiabatic :*  $c_p dT - \alpha dp = 0$

*Hydrostatic :*  $c_p dT + gdz = 0$

$$\rightarrow \left( \frac{dT}{dz} \right)_s = - \frac{g}{c_p} \equiv -\Gamma_d$$

$$\Gamma = g / c_p$$

Earth's atmosphere:  $\Gamma = 1 K / 100 m$



# Model Aircraft Measurements (Renno and Williams, 1995)

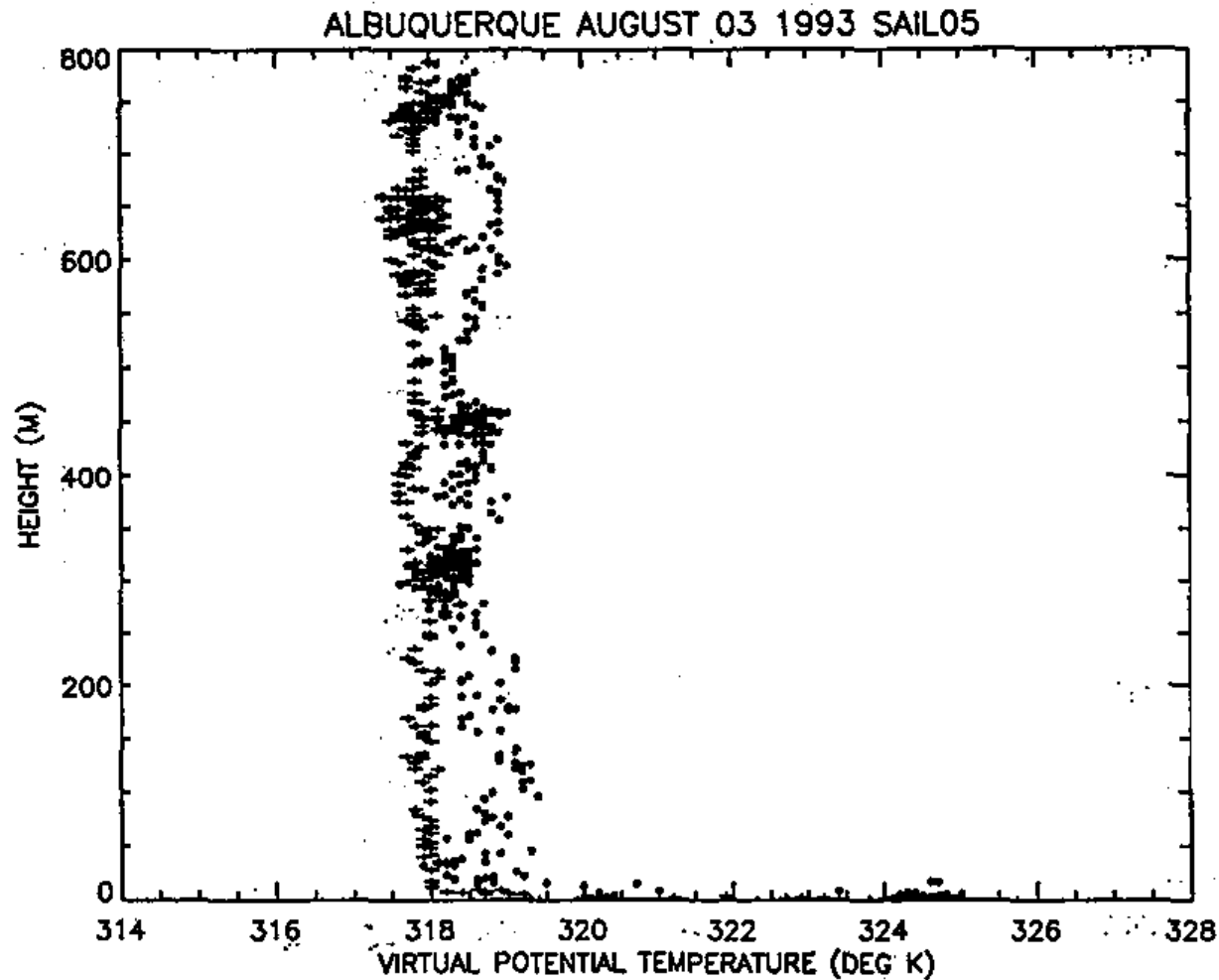


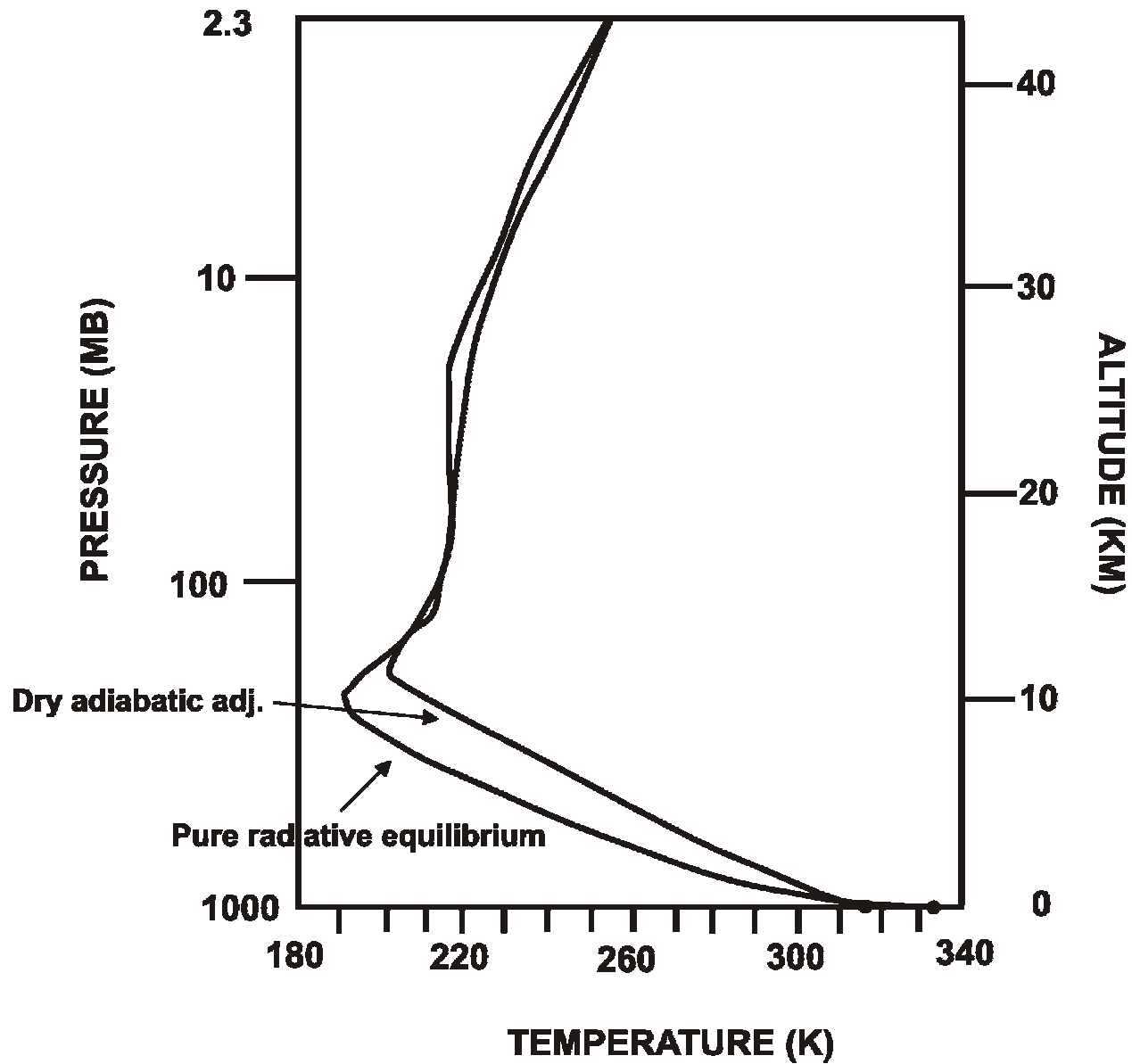
Image courtesy of AMS.

Radiative equilibrium is unstable in the troposphere

Re-calculate equilibrium assuming that tropospheric stability is rendered neutral by convection:

**Radiative-Convective Equilibrium**





Better, but still too hot at surface, too cold at tropopause